

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Spiegel

Serial No.: Unassigned

Filed: October 7, 2003

For: Improved Retaining Ring  
for Wafer Carriers

Art Unit: Unassigned

Examiner: Unassigned

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Enclosed please find Applicants' Information Disclosure  
Statement.

Date: October 7, 2003

By:

*Theodore D. Fay III*

Theodore D. Fay III Esq.  
Reg. No. 48,504

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Certificate of Mailing (37 CFR 1.10)

I hereby certify that this response (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313..

Express Mail No. EV 331240565 US  
Date: October 7, 2003

*Kristen Truong*  
Kristen Truong

212/507

Unassigned

## LIST OF PRIOR ART CITED BY APPLICANT

(use several sheets if necessary)

APPLICANT

Spiegel

FILING DATE

October 7, 2003

GROUP

Unassigned

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|-----------------|------|-----------------|-------|----------|-------------------------------|
|                     | AA | 5,795,215       | 8/98 | Guthrie, et al. | 451   | 286      |                               |
|                     | AB | 6,206,758       | 3/01 | Lai, et al.     | 451   | 41       |                               |
|                     | AC | 6,231,428       | 5/01 | Maloney, et al. | 451   | 41       |                               |
|                     | AD | 6,354,927       | 3/02 | Natalico        | 451   | 287      |                               |
|                     | AE | 6,390,904       | 5/02 | Gleason, et al. | 451   | 286      |                               |
|                     | AF | 6,579,151       | 6/03 | Tseng, et al.   | 451   | 11       |                               |
|                     | AG |                 |      |                 |       |          |                               |
|                     | AH |                 |      |                 |       |          |                               |
|                     | AI |                 |      |                 |       |          |                               |
|                     | AJ |                 |      |                 |       |          |                               |
|                     | AK |                 |      |                 |       |          |                               |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|---------------------|----|-----------------|------|---------|-------|----------|-----------------------|
|                     | AL |                 |      |         |       |          |                       |
|                     | AM |                 |      |         |       |          |                       |

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant